



Instructor: Wynand Kastart Department of Political Science, University of California, Irvine

E-mail: w.kastart@uci.edu Zoom office hours: MWF 2:00-3:00 p.m. (and by appointment) Zoom link: https://uci.zoom.us/my/w.kastart.uci.edu

This content is protected and may not be shared, uploaded or distributed. The contents of this syllabus may be subject to changes throughout the quarter, which will be announced in advance (i.e., before they come into effect). All times listed in this syllabus refer to Pacific Daylight Time.

## **Course Description**

Democracy and national security are desirable political outcomes, yet they often seem to be in conflict. Drawing upon research from within the fields of Comparative Politics and International Relations, this course explores the complex, multifaceted relationship between democracy and national security from a political science perspective. It does so by first laying out the conceptual groundwork for examining both the normative tensions between democracy and national security, and the causal links that connect the two (Part I). Next, this course investigates the causal relationships between democracy and national security by comparing democracies to dictatorships (Part II). It then examines whether and how democracies can balance the need for state secrecy with political accountability, with a particular focus on the role of national security oversight institutions (Part III). Do democracy and national security necessarily collide? Can international democracy promotion stem the tide of domestic and transnational terrorism? How does democracy affect the outbreak of armed conflict? These are some of the questions this course explores.

# **Required Textbook**

Colaresi, Michael P. (2014), *Democracy Declassified: The Secrecy Dilemma in National Security*, Oxford, UK: Oxford University Press.

# **Online Delivery and Recording of Classes**

All class sessions are conducted over Zoom. The instructor will record these sessions and post them to the course's website on Canvas. The recording feature for others is disabled so that no one else is able to record these sessions. If you do not wish to appear in recordings, you may turn off the video feature (click "stop video"), so that Zoom does not record you. If, when you disable live video, you want to use a profile image (other than a picture of you) instead of your name, please let me know which image you will be using, so that I know who you are during the session. If you would like to ask a question, you may do so privately through the Zoom chat by addressing your chat question to me only (and not to "everyone"), or you may contact me by another private method.

## Course Requirements (percentages indicate weights for your final score):

Class Participation	5%
Occasional Pop Quizzes (Canvas) assess class participation.	
Assignments (3 in total; $15\%$ each)	45%
Choose 3 out of a total of 4 assignments (due on Canvas):	
#1 due on Friday (1:00 p.m.) of Week 4 (4/24).	
#2 due on Friday (1:00 p.m.) of Week 6 (5/8).	
#3 due on Friday (1:00 p.m.) of Week 8 $(5/22)$ .	
#4 due on Friday (1:00 p.m.) of Week 10 $(6/5)$ .	
Midterm Exam	$\mathbf{20\%}$
Friday, May 15 <sup>th</sup> , 1:00-1:50 p.m. (Canvas).	
Final Exam	$\mathbf{30\%}$
Wednesday, June 10 <sup>th</sup> , 1:30-3:30 p.m. (Canvas).	

#### Class Participation (worth 5% of final score)

Each class, you are expected to have read the assigned materials in advance, to have studied the previous lecture, and to participate actively and meaningfully. To assess your class participation, there will be occasional Pop Quizzes on that day's readings and the material presented in all previous lectures of that week (for instance, a Pop Quiz administered on a Friday covers the material discussed on the previous Monday and the previous Wednesday).

## Assignments (each worth 15% of final score)

Students are required to submit a total of three assignments (due on Canvas on the dates listed in the syllabus). There are four assignments to choose from. Only the first three assignments that you submit count towards your final score. Students should complete these assignments on their own. Late assignments are heavily penalized. Assignments include opportunities for extra credit to improve your final score, but these opportunities are only available for the first three assignments that you submit. The assignments are posted on Canvas.

## Midterm and Final Exams (worth 20% and 30% of final score, respectively)

The Midterm Exam covers the assigned readings and lectures from Weeks 1-6, and takes the form of 40 multiple choice questions. The Final Exam is non-cumulative and covers the assigned readings and lectures from Weeks 7-10. On the Final Exam, students are asked 20 multiple choice questions (worth  $\frac{1}{3}$  of exam points) and several short essay questions (worth  $\frac{2}{3}$  of exam points). These essay questions are similar to assignment questions.

## **Reading Requirements**

The assigned readings are listed in the course outline presented below and are indicated by citations, which refer to the full list of references presented at the end of this syllabus. Some citations only assign particular chapters or pages. For instance, "Dahl (1973, Chapters 1 and 3)" only assigns Chapters 1 and 3 of "Dahl, Robert Alan (1973), *Polyarchy: Participation and Opposition*, New Haven, Conn.: Yale University Press" for that particular class date. All assigned readings can be accessed via Canvas, except Colaresi (2014).

#### Notifications via Canvas

The default notification settings for Canvas can be found online at https://help.eee.uci.edu/ canvas. Students can customize these settings as they wish. Please note that these customizations apply to all courses in which you are enrolled. For instance, you cannot have messages forwarded for one course, but not for others. By default, you will receive messages ("conversations") and announcements forwarded to your UCI account; if you choose to modify this default setting, it is your responsibility to make sure you check Canvas regularly for communications.

### Letter Grade Policies

Your final score can range from 0 to 100 points (excluding extra credit). After adding extra credit, and together with the remaining grade policies detailed below, your final score will determine your final letter grade, which will be assigned on the basis of the following letter grade distribution:

>= 93.00	А	73.00 - 76.99	С
90.00 - 92.99	A-	70.00 - 72.99	C-
87.00 - 89.99	B+	67.00 - 69.99	D+
83.00 - 86.99	В	63.00 - 66.99	D
80.00 - 82.99	В-	60.00 - 62.99	D-
77.00 - 79.99	C+	<= 59.99	$\mathbf{F}$

## How to Obtain an "A+"

An "A+" is awarded to students who have earned an "A" for the class and have successfully completed an empirical research project ( $\pm$  15 pp.) no later than Friday, June 5<sup>th</sup>, 1:00 p.m. Students who wish to work on such a project should notify the instructor no later than Week 5.

## How to Obtain a "P" or "NP"

Students may enroll in this course P/NP (Pass/Not Pass). Enrolled students will be able to change from the letter-grade option to P/NP until 4:59 p.m. on Friday, June 5<sup>th</sup>. A "P" (Pass) will count toward satisfaction of a major requirement that normally must be satisfied with a letter grade. If you enroll P/NP, you will need to earn the equivalent to a "C" grade or higher. If you enroll P/NP and earn the equivalent to a "C-" grade or lower, you will receive an NP on your transcript (no credit). P/NP does not factor into your GPA.

#### Academic Integrity

Learning, research, and scholarship depend upon an environment of academic integrity and honesty. This environment can be maintained only when all participants recognize the importance of upholding the highest ethical standards. All student work, including quizzes, exams, reports, and papers must be the work of the individual receiving credit. Academic dishonesty includes, for example, cheating on examinations or any assignment, plagiarism of any kind (including improper citation of sources), having someone else take an examination or complete an assignment for you (or doing this for someone else), or any activity in which you represent someone else's work as your own. Violations of academic integrity will be referred to the Office of Academic Integrity and Student Conduct. The impact on your grade will be determined by the individual instructor's policies. Please familiarize yourself with UCI's Academic Integrity Policy (https://aisc.uci.edu/policies/academic-integrity/index.php) and speak to your instructor if you have any questions about what is and is not allowed in this course.

### **Re: Sharing Course Materials**

My lectures and course materials, including lecture presentation slides, assignments, pop quizzes, and similar materials, are protected by U.S. copyright law and by University policy (see link). I am the exclusive owner of the copyright in those materials that I create. You may take notes and make copies of course materials for your own use. You may also share those materials with other students who are registered and enrolled in this course. You may not reproduce, distribute or display (digitally post/upload) lecture notes or recordings (see link) or course materials in any other way – whether or not a fee is charged – without my express written consent. You also may not allow others to do so. If you do so, you may be subject to student conduct proceedings under the Code of Student Conduct, Section 102.23 (see link). Similarly, you own the copyright in your original assignments and exam essays. If I am interested in posting your answers on the course web site, I will ask for your written permission.

# Course Outline

Week	Topics and Readings
	Part I: Core Concepts in Political Science
Week 1	<ul> <li>Introduction</li> <li>Monday 3/30: Course Requirements Readings: class syllabus</li> <li>Wednesday 4/1: Political Science as a Social Science Readings: blogposts on the politics of the COVID-19 pandemic (Canvas)</li> <li>Friday 4/3: The Principles of Political Science Readings: blogposts on the politics of the COVID-19 pandemic (Canvas)</li> </ul>
Week 2	<ul> <li>Core Concepts: Democracy and the State</li> <li>Monday 4/6: Democracy: Competition and Participation <i>Readings</i>: Dahl (1973, Chapters 1 and 3)</li> <li>Wednesday 4/8: The State: State Scope vs. State Strength <i>Readings</i>: Fukuyama (2004)</li> <li>Friday 4/10: Review</li> </ul>
Week 3	<ul> <li>Core Concepts: Public Goods and Political Order</li> <li>Monday 4/13: National Security as a Public Good Readings: Malkin and Wildavsky (1991)</li> <li>Wednesday 4/15: Political Order vs. National Security Readings: Davenport (2007)</li> <li>Friday 4/17: Review</li> </ul>
	Part II: Comparing Democracies to Dictatorships
Week 4	<ul> <li>Democracy and the Provision of Goods</li> <li>Monday 4/20: Democracy and Public Goods Readings: Bueno de Mesquita and Smith (2011, Chapter 5)</li> <li>Wednesday 4/22: Democracy and Private Goods Readings: Bueno de Mesquita and Smith (2011, Chapter 6)</li> <li>Friday 4/24: Review. Assignment 1 is due on Canvas at 1:00 p.m.</li> </ul>
Week 5	<ul> <li>Democracy and International Warfare</li> <li>Monday 4/27: The Democratic Peace Readings: Levy and Thompson (2010, pp. 104-17)</li> <li>Wednesday 4/29: Democratization and War Readings: Edward D. Mansfield and Snyder (1995), Edward D Mansfield and Snyder (2007), and Carothers (2007)</li> <li>Friday 5/1: Review</li> </ul>

(Course outline continued on the next page.)

Course outline (continued from the previous page):

Week	Topics and Readings					
	Democracy and Domestic Peace					
Week 6	<ul> <li>Monday 5/4: Democracy and Terrorism Readings: Pape (2003) and Chenoweth (2013)</li> <li>Wednesday 5/6: Democracy and Domestic Political Conflict Readings: instructor's notes (wkastart-DT-Masterfile-v06-Chapters-1-and-2.pdf)</li> <li>Friday 5/8: Midterm Exam Review. Assignment 2 is due on Canvas at 1:00 p.m.</li> </ul>					
	Part III: Comparing Democracies					
	The Secrecy Dilemma					
Week 7	<ul> <li>Monday 5/11: Institutional Solutions Readings: Colaresi (2014, Chapter 1)</li> <li>Wednesday 5/13: Organizational Problems Readings: Sagar (2013, Introduction and Chapter 1)</li> </ul>					
	Midterm Exam					
	• Friday 5/15: Midterm Exam, 1:00-1:50 p.m. (Canvas) Midterm Exam Material: all readings and lectures from Weeks 1-6.					
	Secrecy, Transparency and National Security in Democracies					
Week 8	<ul> <li>Monday 5/18: Transparency and National Security Readings: Colaresi (2014, Chapter 2)</li> <li>Wednesday 5/20: Secrecy and National Security Readings: Colaresi (2014, Chapter 3)</li> <li>Friday 5/22: Review. Assignment 3 is due on Canvas at 1:00 p.m.</li> </ul>					
	Exploiting State Secrecy in Democracies					
Week 9	<ul> <li>Monday 5/25: The Abuse of State Secrecy <i>Readings</i>: Colaresi (2014, Chapter 4)</li> <li>Wednesday 5/27: The Popular Response <i>Readings</i>: Colaresi (2014, Chapter 5)</li> <li>Friday 5/29: Review.</li> </ul>					
	Solving the Secrecy Dilemma					
Week 10	<ul> <li>Monday 6/1: National Security Oversight Institutions Readings: Colaresi (2014, Chapter 6)</li> <li>Wednesday 6/3: The Evidence Readings: Colaresi (2014, Chapter 7)</li> <li>Friday 6/5: Exam Review. Assignment 4 is due on Canvas at 1:00 p.m.</li> </ul>					

(Course outline continued on the next page.)

Course outline (continued from the previous page):

Week	Topics and Readings
	Final Exam
Week 11	Finals Week
	• Wednesday, 6/10: Final Exam, 1:30-3:30 p.m. (Canvas) Final Exam Material: all readings and lectures from Weeks 7-10.

# List of References

- Bueno de Mesquita, Bruce and Alastair Smith (2011), The Dictator's Handbook: Why Bad Behavior is Almost Always Good Politics, New York City, N.Y.: PublicAffairs.
- Carothers, Thomas (2007), "How Democracies Emerge: The "Sequencing" Fallacy", Journal of Democracy 18 (1), pp. 12–27, DOI: 10.1353/jod.2007.0002.
- Chenoweth, Erica (2013), "Terrorism and Democracy", Annual Review of Political Science 16, pp. 355–78, DOI: 10.1146/annurev-polisci-032211-221825.
- Colaresi, Michael P. (2014), Democracy Declassified: The Secrecy Dilemma in National Security, Oxford, UK: Oxford University Press.
- Dahl, Robert Alan (1973), Polyarchy: Participation and Opposition, New Haven, Conn.: Yale University Press.
- Davenport, Christian (2007), "State Repression and Political Order", Annual Review of Political Science 10, pp. 1–23, DOI: 10.1146/annurev.polisci.10.101405.143216.
- Fukuyama, Francis (2004), "The Imperative of State-building", Journal of Democracy 15 (2), pp. 17–31, DOI: 10.1353/jod.2004.0026.
- Levy, Jack S. and William R. Thompson (2010), Causes of War, Hoboken, N.J.: Wiley-Blackwell.
- Malkin, Jesse and Aaron Wildavsky (1991), "Why the Traditional Distinction between Public and Private Goods Should Be Abandoned", *Journal of Theoretical Politics* 3 (4), pp. 355–78, DOI: 10.1177/0951692891003004001.
- Mansfield, Edward D. and Jack Snyder (1995), "Democratization and War", *Foreign Affairs* 74 (3), pp. 79–97, DOI: 10.2307/20047125.
- Mansfield, Edward D and Jack Snyder (2007), "Exchange: The Sequencing "Fallacy", Journal of Democracy 18 (3), pp. 5–9, DOI: 10.1353/jod.2007.0047.
- Pape, Robert A. (2003), "The Strategic Logic of Suicide Terrorism", American Political Science Review 97 (3), pp. 343–61, DOI: 10.1017/S000305540300073X.
- Sagar, Rahul (2013), Secrets and Leaks: The Dilemma of State Secrecy, Princeton, N.J.: Princeton University Press.

A note about the list of references: The list of references presented above is primarily meant as a resource for you to use if you'd like to follow up on a given topic. Please note that students are only required to read the material indicated in the course outline presented above. For instance, you are only required to read Chapters 1 and 3 of Dahl (1973), not the whole book.

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